

CITY \_\_\_\_\_

June 30, 2017 or the twelve month period ended \_\_\_\_\_

**MANAGER REVIEW QUESTIONNAIRE**

QUESTION	YES	NO	N/A
1. Have you reviewed the workpapers and do you concur with the conclusions of the incharge?			
2. Have exceptions noted on the Incharge Review Questionnaire been resolved?			
3. Are you satisfied:			
a) The AUP program was properly modified for identified problems?			
b) The judgments and conclusions reached are supported by documented evidence?			
c) All AUP work conformed to the engagement plan, scope and objectives?			
4. Do the workpapers include adequate documentation as to:			
a) Conformity with attestation standards for AUP engagements?			
b) Compliance with office policies?			
5. Have all applicable procedures been performed and signed off?			
6. Have all electronic workpapers been properly stored on the network?			
7. Is there adequate documentation in the workpapers to support the findings?			
8. Is our AUP report addressed to the proper party?			
9. Is the report dated as required by AT-C 215.35q and 315.26r?			
10. Are you satisfied the AUP engagement did not disclose any suspicions of fraud, violations of statutory, regulatory and contractual provisions or other illegal acts other than those noted in the report findings.			
11. Have the report findings been discussed with appropriate City officials?			
12. Have all findings from the AUP engagement been reported as required by AT 201.25?			
13. Has the report routing sheet been completed?			
14. Does the draft AUP report comply with professional and office reporting standards?			
15. Has a copy of the completed routing sheet, including the report release date, been filed in the GF-17's?			
<u>COMMENTS</u> (required for "No" answers):			
<div style="display: flex; justify-content: space-between;"> <span>Manager _____</span> <span>Date _____</span> </div>			