

RIZ 2017 Audit Programs

June 30, 2017

**OPINION, DISCLOSURE AND
OTHER REPORT INFORMATION
SINGLE AUDIT**

Compliance requirements:

- A. Activities Allowed or Unallowed
- B. Allowable Costs/Cost Principles
- C. Cash Management
- D. Reserved
- E. Eligibility
- F. Equipment and Real Property
- G. Matching, Level of Effort, Earmarking
- H. Period of Availability of Federal Funds
- I. Procurement, Suspension and Debarment
- J. Program Income
- K. Reserved
- L. Reporting
- M. Subrecipient Monitoring
- N. Special Tests and Provisions

| Major Program (CFDA #): | | | | Major Program (CFDA #): | | | |
|-------------------------|---------------------------------------|--------------------------------------|-----------------|-------------------------|---------------------------------------|--------------------------------------|-----------------|
| Requirement Tested | Findings reported in Part III of SFQC | Type of finding reported in Part III | Type of Opinion | Requirement Tested | Findings reported in Part III of SFQC | Type of finding reported in Part III | Type of Opinion |
| Y N/A | Y N/A | MNC,QC,SD, MW, NONE | U,Q,D,A | Y N/A | Y N/A | MNC,QC,SD, MW, NONE | U,Q,D,A |
| Y N/A | Y N/A | MNC,QC,SD, MW, NONE | U,Q,D,A | Y N/A | Y N/A | MNC,QC,SD, MW, NONE | U,Q,D,A |
| Y N/A | Y N/A | MNC,QC,SD, MW, NONE | U,Q,D,A | Y N/A | Y N/A | MNC,QC,SD, MW, NONE | U,Q,D,A |
| Y N/A | Y N/A | MNC,QC,SD, MW, NONE | U,Q,D,A | Y N/A | Y N/A | MNC,QC,SD, MW, NONE | U,Q,D,A |
| Y N/A | Y N/A | MNC,QC,SD, MW, NONE | U,Q,D,A | Y N/A | Y N/A | MNC,QC,SD, MW, NONE | U,Q,D,A |
| Y N/A | Y N/A | MNC,QC,SD, MW, NONE | U,Q,D,A | Y N/A | Y N/A | MNC,QC,SD, MW, NONE | U,Q,D,A |
| Y N/A | Y N/A | MNC,QC,SD, MW, NONE | U,Q,D,A | Y N/A | Y N/A | MNC,QC,SD, MW, NONE | U,Q,D,A |
| Y N/A | Y N/A | MNC,QC,SD, MW, NONE | U,Q,D,A | Y N/A | Y N/A | MNC,QC,SD, MW, NONE | U,Q,D,A |
| Y N/A | Y N/A | MNC,QC,SD, MW, NONE | U,Q,D,A | Y N/A | Y N/A | MNC,QC,SD, MW, NONE | U,Q,D,A |
| Y N/A | Y N/A | MNC,QC,SD, MW, NONE | U,Q,D,A | Y N/A | Y N/A | MNC,QC,SD, MW, NONE | U,Q,D,A |
| Y N/A | Y N/A | MNC,QC,SD, MW, NONE | U,Q,D,A | Y N/A | Y N/A | MNC,QC,SD, MW, NONE | U,Q,D,A |
| Y N/A | Y N/A | MNC,QC,SD, MW, NONE | U,Q,D,A | Y N/A | Y N/A | MNC,QC,SD, MW, NONE | U,Q,D,A |
| Y N/A | Y N/A | MNC,QC,SD, MW, NONE | U,Q,D,A | Y N/A | Y N/A | MNC,QC,SD, MW, NONE | U,Q,D,A |
| Y N/A | Y N/A | MNC,QC,SD, MW, NONE | U,Q,D,A | Y N/A | Y N/A | MNC,QC,SD, MW, NONE | U,Q,D,A |
| Y N/A | Y N/A | MNC,QC,SD, MW, NONE | U,Q,D,A | Y N/A | Y N/A | MNC,QC,SD, MW, NONE | U,Q,D,A |

U = Unmodified MNC = Material noncompliance
 Q = Qualified QC = Questioned Cost > \$25,000
 D = Disclaimer SD = Significant Deficiency
 A = Adverse MW = Material Weaknesses

Y = Yes
 N/A = Not applicable
 NONE = None required to be reported

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| A. Activities Allowed or Unallowed | Y N/A | Y N/A | MNC, QC, SD, MW, NONE | U, Q, D, A | Y N/A | Y N/A | MNC, QC, SD, MW, NONE | U, Q, D, A |
| B. Allowable Costs/Cost Principles | Y N/A | Y N/A | MNC, QC, SD, MW, NONE | U, Q, D, A | Y N/A | Y N/A | MNC, QC, SD, MW, NONE | U, Q, D, A |
| C. Cash Management | Y N/A | Y N/A | MNC, QC, SD, MW, NONE | U, Q, D, A | Y N/A | Y N/A | MNC, QC, SD, MW, NONE | U, Q, D, A |
| D. Reserved | Y N/A | Y N/A | MNC, QC, SD, MW, NONE | U, Q, D, A | Y N/A | Y N/A | MNC, QC, SD, MW, NONE | U, Q, D, A |
| E. Eligibility | Y N/A | Y N/A | MNC, QC, SD, MW, NONE | U, Q, D, A | Y N/A | Y N/A | MNC, QC, SD, MW, NONE | U, Q, D, A |
| F. Equipment and Real Property | Y N/A | Y N/A | MNC, QC, SD, MW, NONE | U, Q, D, A | Y N/A | Y N/A | MNC, QC, SD, MW, NONE | U, Q, D, A |
| G. Matching, Level of Effort, Earmarking | Y N/A | Y N/A | MNC, QC, SD, MW, NONE | U, Q, D, A | Y N/A | Y N/A | MNC, QC, SD, MW, NONE | U, Q, D, A |
| H. Period of Availability of Federal Funds | Y N/A | Y N/A | MNC, QC, SD, MW, NONE | U, Q, D, A | Y N/A | Y N/A | MNC, QC, SD, MW, NONE | U, Q, D, A |
| I. Procurement, Suspension and Debarment | Y N/A | Y N/A | MNC, QC, SD, MW, NONE | U, Q, D, A | Y N/A | Y N/A | MNC, QC, SD, MW, NONE | U, Q, D, A |
| J. Program Income | Y N/A | Y N/A | MNC, QC, SD, MW, NONE | U, Q, D, A | Y N/A | Y N/A | MNC, QC, SD, MW, NONE | U, Q, D, A |
| K. Reserved | Y N/A | Y N/A | MNC, QC, SD, MW, NONE | U, Q, D, A | Y N/A | Y N/A | MNC, QC, SD, MW, NONE | U, Q, D, A |
| L. Reporting | Y N/A | Y N/A | MNC, QC, SD, MW, NONE | U, Q, D, A | Y N/A | Y N/A | MNC, QC, SD, MW, NONE | U, Q, D, A |
| M. Subrecipient Monitoring | Y N/A | Y N/A | MNC, QC, SD, MW, NONE | U, Q, D, A | Y N/A | Y N/A | MNC, QC, SD, MW, NONE | U, Q, D, A |
| N. Special Tests and Provisions | Y N/A | Y N/A | MNC, QC, SD, MW, NONE | U, Q, D, A | Y N/A | Y N/A | MNC, QC, SD, MW, NONE | U, Q, D, A |

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